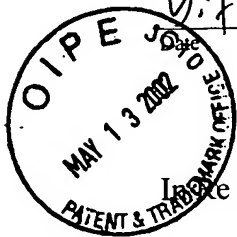


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R&A No. 5075-0033

PATENT # 4



Signature

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application of:

Craig Jon HAWKER et al.

Serial No.: 10/092,641

Group Art Unit: 1711

Filing Date: March 6, 2002

Examiner: Unassigned

Title: PREPARATION OF CROSSLINKED PARTICLES FROM POLYMERS HAVING  
ACTIVATIBLE CROSSLINKING GROUPS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, DC 20231

Sir:

This is an Information Disclosure Statement submitted for the Examiner's consideration. Applicants respectfully request that the Examiner review and make of record the references identified below.

A PTO-1449 form listing the references accompanies this paper. Applicants would appreciate the Examiner's initialing and returning the form to indicate that the references have been reviewed and made of record. The references are as follows:

U.S. PATENT DOCUMENTS		
Document No.	Issue Date or Publication Date	Name of Patentee or Applicant
4,829,144	5/9/89	Brunelle et al.
5,039,783	8/13/91	Brunelle et al.
5,041,516	8/20/91	Fréchet et al.
5,407,984	4/18/95	Brunelle et al.
5,514,764	5/7/96	Frechet et al.
5,668,186	9/16/97	Brunelle et al.
5,965,679	10/12/99	Godschalx et al.
6,107,357	8/22/00	Hawker et al.
6,110,649	8/29/00	Carter et al.
6,288,188	9/11/01	Godschalx et al.

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Document No.	Publication Date	Country
DE 19754304 A1	6/10/99	Germany
DE 19922368 A1	11/16/00	Germany
DE 19947631 A1	6/29/00	Germany

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Document No.	Publication Date	Country
WO 96/11054	4/18/96	PCT
WO 99/43359	9/2/99	PCT
WO 00/78846	12/28/00	PCT

NONPATENT DOCUMENTS	
Auweter (2000), "Production and Characterization of Organic Nanoparticles" seminar abstract, Clarkson University Department of Chemical Engineering and Center of Advanced Materials Processing (abstract printed 6/29/01 from URL: <a href="http://cu.clarkson.edu/~maigafat/PCON.html">http://cu.clarkson.edu/~maigafat/PCON.html</a> ).	
Mercerreyes et al. (2001), "A Novel Approach to Functionalized Nanoparticles: Self-Crosslinking of Macromolecules in Ultradilute Solution," <i>Advanced Materials</i> 13(3):204-208.	
Wooley (2000), "Shell Crosslinked Polymer Assemblies: Nanoscale Constructs Inspired from Biological Systems," <i>Journal of Polymer Science: Part A: Polymer Chemistry</i> 38:1397-1407.	

This Information Disclosure Statement is not intended as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that any of the above references constitutes prior art to the present application within the meaning of 35 USC § 102.

As applicants have not yet received a first Action on the merits, no fee is required for filing this Information Disclosure Statement. If, however, the PTO finds that for some reason a fee is found to be necessary, our Deposit Account No. 18-0580 may be charged therefor. A **duplicate copy of this paper is enclosed.**

Respectfully submitted,

By:

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